

# THE ROBERTS COMPANY, LLC

*Compliance Services: IT, Accounting, Operations & Corporate Governance*



**THE ROBERTS COMPANY, LLC** specializes in the regulatory compliance, information technology best practices, fraud prevention and detection programs, project/program management, process improvement, development of policies and procedures and Corporate Governance.

We help clients to align internal controls and technology in support of our client business. We apply best practices in identifying and managing the risks as part of an active approach towards innovative solutions of complex business issues and compliance.

We are committed to excellence, totally independent, and focused on client values.

## **Sarbanes-Oxley Compliance Services**

- ✓ Compliance with SOX-404
- ✓ Periodic Monitoring SOX-404
- ✓ IT General Controls
- ✓ Process Narrative Development: IT, Accounting and Operations
- ✓ Policy, Procedure and Test Development: IT, Accounting and Operations
- ✓ Internal Controls Design, assessment and Test
- ✓ Remediation Design / Implementation

## **Regulatory Compliance Services**

- ✓ Corporate Governance
- ✓ Internal Audit Services
- ✓ Pre-IPO Services
- ✓ SSAE 16/SAS 70/SAS 108
- ✓ ISO 27000 Compliance
- ✓ PCI-DSS Compliance
- ✓ Gramm-Leach-Bliley Act
- ✓ Information Systems Review
- ✓ Application Controls
- ✓ Agreed Upon Procedures
- ✓ Interim Compliance Officer

## **Information Technology Services**

- ✓ IT Planning and Assessment
- ✓ Security Policy Development
- ✓ Computer Operations Policy Development
- ✓ Program Development Controls
- ✓ ERP Selection and Implementation
- ✓ Interim Chief Information Officer

## **Fraud Deterrence Services**

- ✓ Fraud Deterrence Methods
- ✓ Fraud Prevention Methods
- ✓ Internal Control Assessment
- ✓ Data Integrity and Security
- ✓ Business Risk Assessment
- ✓ Business Continuity Planning
- ✓ Audit Committee Representation

## **THE ROBERTS COMPANY, LLC**

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