

THE ROBERTS COMPANY, LLC

Compliance Services: Information Technology and Business Processes



THE ROBERTS COMPANY, LLC specializes in the regulatory compliance, risk management services, information technology best practices, fraud prevention and detection programs, and Corporate Governance.

We help clients to align internal controls and technology in support of our client business. We apply best practices in identifying and managing the risks as part of an active approach towards innovative solutions of complex business issues and compliance.

We are committed to excellence, totally independent, and focused on client values.

Sarbanes-Oxley Compliance Services

- ✓ Compliance with SOX-404
- ✓ Periodic Monitoring SOX-404
- ✓ IT General Controls
- ✓ Process Narrative Development: IT, Accounting and Operations
- ✓ Policy, Procedure and Test Development: IT, Accounting and Operations
- ✓ Internal Controls Design, assessment and Test
- ✓ Remediation Design / Implementation

Regulatory Compliance Services

- ✓ Corporate Governance
- ✓ Internal Audit Services
- ✓ Pre-IPO Services
- ✓ SAS 70 and SAS 94 Compliance
- ✓ ISO 27000 Compliance
- ✓ ISO 17799 Compliance
- ✓ Gramm-Leach-Bliley Act
- ✓ Information Systems Review
- ✓ Application Controls
- ✓ Agreed Upon Procedures
- ✓ Interim Compliance Officer

Information Technology Services

- ✓ IT Planning and Assessment
- ✓ Security Policy Development
- ✓ Computer Operations Policy Development
- ✓ Program Development Controls
- ✓ ERP Selection and Implementation
- ✓ Interim Chief Information Officer

Fraud Deterrence Services

- ✓ Fraud Deterrence Methods
- ✓ Fraud Prevention Methods
- ✓ Internal Control Assessment
- ✓ Data Integrity and Security
- ✓ Business Risk Assessment
- ✓ Business Continuity Planning
- ✓ Audit Committee Representation

THE ROBERTS COMPANY, LLC

3394 Holly Oak Lane
Escondido, CA 92027

Tel: 760.550.2160 * Fax: 760.839.2160

Contact: Robert Putrus, PE, CMC, CFE

robertputrus@therobertsglobal.com

<http://www.therobertsglobal.com/>